| CITY OF | | ACTION Approved Recommendation Ord. No(s). Res. No(s). Other | Meeting Date: 11/05/13 TYPE OF ITEM Info/Consent Report Public Hearing (Info/consent) Other |
|----------|--|--|--|
| | Danielle M. Navas Mavas City Manager HD City Attorn | A new SMF Finance W | Agenda Item No. <u>5 - 3</u> Other <i>(Specify)</i> |
| DATE: | October 10, 2013 | | |
| TO: | City Council | | Л |
| FROM: | Danielle M. Navas, City Treas | surer Danielle M/ | lavas |
| SUBJECT: | Quarterly Investment Repor | rt for the First Quarter F/Y | 2013-2014 |

RECOMMENDATION

That City Council accepts the quarterly Investment Report for the First Quarter F/Y 2013-2014.

DISCUSSION

The City Treasurer will continue to provide this quarterly report to keep you informed of the status of the investment portfolio, however, it is no longer required by state law. This is one of many previously reimbursable state mandated items not required due to lack of state funding. The Investment Policy was presented to City Council with the Annual Report of Investment Policy on October 1, 2013. The City of Oxnard Treasurer's Office Market Report as of September 30, 2013 is provided as Attachment 1. The source of the market values is Sungard, the vendor of the City's investment reporting system. Investments in the City's portfolio meet the requirements of the City of Oxnard's adopted investment policy. Detailed information regarding individual investments is maintained in the City Treasurer's Office.

The following statistics provide a summary on the status of the portfolio as of Sept. 30, 2013:

| Original cost of investments | \$ 88,248,749 | Book Value |
|---------------------------------------|---------------|--------------|
| Total to be received at maturity | \$ 88,250,000 | Par Value |
| If sold on Sept. 30, 2013 | \$ 87,511,070 | Market Value |
| Gain/ (Loss) if sold on Sept 30, 2013 | \$ (737,679)* | |
| | a ea : | |

*All investments purchased are held to maturity. Sufficient liquidity is maintained for unexpected needs so that sale of an investment may not be necessary. Any gain or loss related to changing market value is only a "paper" gain or loss, not an increase or decrease in the funds of the City.
Total earnings (1st quarter) \$520,936**
Average yield (1st quarter) 2.40%** (for the month 5.07%)**
Average days to maturity (1st quarter) 1175 (for the month 1089 days)

**Favorable market conditions allowed selected securities to be sold at a profit. The securities sold would have matured at par value but were sold for a principal gain of \$276,600. The total earnings, average yield for the first quarter and the current monthly yield include the profit for the sale and are not typical.

The quarterly average yield on the portfolio was 2.40 percent compared with the Local Agency Investment Fund (LAIF) yield of .27 percent for the same period. The LAIF portfolio is comprised of public funds invested on a short-term basis with priority of safety first followed by need for liquidity and yield, the priorities of the City Treasurer's Investment Policy.

The federal funds rate remains unchanged at .00 to .25 percent.

In compliance with California Government Code section 53646, I hereby certify that sufficient investment liquidity and anticipated revenues are available to meet the City's budgeted and anticipated expenditure requirements for the next six months.

FINANCIAL IMPACT

Principal gain of \$276,600.

Attachment #1 –Investment Inventory as of Sept 30, 2013 Attachment #2 – Benchmark Rate Comparison



As Of Date: 09/30/2013 Date Basis: Settlement

City of Oxnard - Treasurer's Office

| No. Market Price Market Price Inv True: 12 FEDERAL HOME LOAN EANK EONE 11373131 66/6/2017 1.15000 2,000,000.00 1,986,660.00 15624 FH.8 31337313 66/6/2017 1.16922 1,998,200.44 9.34800 15638 FH.8 31338187 06/12/2018 1.00000 2,000,000.00 1.985,1200.00 16951 FH.8 313383N3 06/12/2018 1.00000 2,000,000.00 1.955,540.00 16952 FHL8 313383N3 06/12/2018 1.00000 2,000,000.00 1.955,540.00 16954 FHL8 313383N2 06/27/2013 1.20000 2,000,000.00 1.978,780.00 16955 FHL8 313383HQ7 06/27/2018 1.20000 2,000,000.00 1.978,780.00 16955 FHL8 313381HQ7 06/27/2018 1.20000 2,000,000.00 1.978,780.00 16955 FHL8 31386HQ7 06/27/2018 1.20000 2,000,000.00 1.984,780.00 16955 FHL8 31366HQ7 0/2/27/2013 | Inv. | Description | CUSIP | Purchase | Coupon | Current Par /Share | Market Value | Curr Accr Int |
|--|--------|------------------|----------------|----------------|------------------------------------|--|---|--|
| 16924 PHLB 313379334 06/06/2012 1.150000 2.000,000.00 1,986,960.00 16938 PHLB 31338187 06/06/2017 1.160322 1,999,200.44 99,348000 16938 PHLB 31338187 06/07/2018 1.000000 2.000,000.00 1,955,500.00 16951 PHLB 313383AV3 06/12/2013 1.000000 2.000,000.00 1,951,200.00 16952 FHLB 313383AV3 06/12/2013 1.000000 2.000,000.00 1,955,940.00 16954 FHLB 313383AV2 06/12/2013 1.200000 2.000,000.00 1,978,780.00 16955 FHLB 313383HQ7 06/27/2013 1.200000 2.000,000.00 9,9339000 16955 FHLB 313383HQ7 06/27/2013 1.20000 2.000,000.00 1,978,780.00 16957 FHLB 313383HQ7 06/27/2013 1.20000 2.000,000.00 1,978,780.00 16951 FHLB 31366476 09/27/2013 1.20000 2.000,000.00 1,984,79000 <t< th=""><th>No.</th><th></th><th></th><th>Maturity</th><th>YTM TR</th><th>Current Book</th><th>Market Price</th><th>Price Source</th></t<> | No. | | | Maturity | YTM TR | Current Book | Market Price | Price Source |
| 6938 FHLB 313381RF7 01/30/2013 1.000000 2.000,000.00 3.000,000.0 3.95,5100.00 1.00000 2.000,000.00 3.95,5100.00 1.00000 2.000,000.00 3.92,55000 1.000000 2.000,000.00 3.95,500.00 7.560000 1.00000 2.000,000.00 3.95,500.00 1.955,94.00 66/13/2013 1.008000 2.000,000.00 3.97,57000 1.955,94.00 66/13/2013 1.008000 2.000,000.0 3.97,57000 1.955,94.00 66/13/2013 1.208000 2.000,000.0 3.97,5780.00 3.938384 66/13/2013 1.208000 2.000,000.0 3.97,780.00 1.975,780.00 66/27/2013 1.208000 2.000,000.0 3.97,780.00 1.85,77 1.18997 12,000,000.0 3.97,780.00 1.85,76 1.18997 12,000,000 3.000,000 3.99,9900 1.18577 1.18997 12,000,000 3.000,000 3.97,780.00 1.18977 1.18997 12,000,000 3.000,000 3.99,900 1.18577 1.18977 1.18997 12,000,000.0 198,93900 12,000,000.0 198,93900 12,177/31 1.12500 2,000,000.0 199,91,900 12,000,000.0 199,91,900 12,000,000.0 199,91,900 12,000,000.0 199,91,900 12,000,000 199,91,900 12,000,000.0 199,91,900 12,000,000.0 199,91,900 12,000,000.0 | и Туре | e: 12 FEDERAL HC | ME LOAN BANK B | ONDS | | | | an na h-shekara na karana na karana na karana k |
| 14938 FHLB 31381RE7 01/30/2018 1.000000 2,000,000.00 1,955,100.00 16951 FHLB 313383AV3 06/12/2018 1.000000 2,000,000.00 1,955,200.00 16952 FHLB 313383AV3 06/12/2018 1.000000 2,000,000.00 1,955,940.00 16952 FHLB 313383AV3 06/12/2018 1.200000 2,000,000.00 1,955,940.00 16955 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 1,987,780.00 16955 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 1,987,980.00 16955 FHLB 31356024 1/1/14/2012 1.20000 2,000,000.00 1,984,98000 16957 FMA 313660269 05/25/2012 1.20000 | 16924 | FHLB | 313379J34 | 06/06/2012 | 1.150000 | 2,000,000.00 | 1,986,960.00 | 7,347.22 |
| 16951 FHLB 313383AV 06/12/2013 1.000000 2,000,000.00 1,951,200.00 16952 FHLB 313383AV 06/12/2013 1.000000 2,000,000.00 1,951,200.00 16952 FHLB 313383A68 06/13/2018 1.000000 2,000,000.00 1,975,910.00 16954 FHLB 313383HQ7 06/27/2018 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 313383HQ7 06/27/2018 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 31383HQ7 06/27/2018 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 31383HQ7 06/27/2018 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 3136FPEXI 09/17/2010 1.125000 2,000,000.00 1,978,780.00 16954 FMMA 3136FPEXI 09/17/2010 1.125000 2,000,000.00 1,994,950.00 16935 FNMA 3136GPEXI 1.010001 2,000,000.00 1,994,950.00 1693 | | | | 06/06/2017 | 1.160322 | 1,999,200.44 | 99.348000 | SUNGARD |
| 16951 FHLB 313383AV3 06/12/2013 1.00000 2,000,000.00 97.550000 16952 FHLB 313383A6 06/13/2013 1.060000 2,000,000.00 1,955,940.00 16954 FHLB 313383HQ 06/27/2013 1.20000 2,000,000.00 97.57000 16955 FHLB 313383HQ 06/27/2013 1.20000 2,000,000.00 98.939000 16955 FHLB 313383HQ 06/27/2013 1.20000 2,000,000.00 98.939000 16955 FHLB 313383HQ 06/27/2018 1.20000 2,000,000.00 98.939000 16955 FHLB 31336HQZ 06/27/2018 1.20000 2,000,000.00 98.939000 16956 FNMA 3136FPEXI 09/17/2010 1.125000 2,000,000.00 1.998,760.00 16923 FNMA 3136G0K29 0/2/2/2017 1.20000 2,000,000.00 1.999,458.00 16923 FNMA 3136G0K29 0/2/2/2017 1.20000 2,000,000.00 1.999,458.00 16925 FNMA 3136G0K29 0/2/2/2017 1.50000 2,000,000.00 | 16938 | FHLB | 313381RE7 | 01/30/2013 | 1.000000 | 2,000,000.00 | 1,965,100.00 | 3,388.89 |
| 16952 FHLB 313383A68 66/13/2013 1.080000 2,000,000.00 1,955,940.00 16954 FHLB 313383A67 6/(3/2013) 1.200000 2,000,000.00 1,978,780.00 16954 FHLB 313383HQ7 0/(27/2013) 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 313383HQ7 0/(27/2013) 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 313383HQ7 0/(27/2013) 1.200000 2,000,000.00 1,978,780.00 16957 FHLB 31336HQ7 0/(27/2013) 1.200000 2,000,000.00 19,98,393000 16957 Subtotal 114997 12,000,000.00 19,8393000 14,816,760.00 16953 FNMA 3136G0KQ3 05/21/2012 1.125000 2,000,000.00 10.022000 16933 FNMA 3136G0KQ3 05/23/2017 1.120000 2,000,000.00 1,994,580.00 16935 FNMA 3136G0K26 08/28/2017 1.100000 2,000,000.00 1,994,580.00 | | | | 01/30/2018 | 1.000000 | 2,000,000.00 | 98.255000 | SUNGARD |
| 16952 FHLB 313383A68 06/13/2013 1.080000 2,000,000.00 1,955,940.00 16954 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 1,976,780.00 16955 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 313383HQ7 06/27/2018 1.200000 2,000,000.00 1,978,780.00 16957 FHLB 313383HQ7 06/27/2018 1.200000 2,000,000.00 1,978,780.00 16957 TUPE: 13 FEDERAL NATIONAL MORTGAGE ASSN 1.106997 11,959,200.44 98.473000 TVTPIE: 13 FEDERAL NATIONAL MORTGAGE ASSN 1.12500 2,000,000.00 1,994,580.00 16923 FNMA 3136G0KQ3 05/25/2012 1.20000 2,000,000.00 1,994,580.00 16931 FNMA 3136G0KQ3 05/25/2012 1.20000 2,000,000.00 1,994,580.00 16933 FNMA 3136G0KQ3 05/25/2012 1.20000 2,000,000.00 1,994,580.00 16934 FNMA | 16951 | FHLB | 313383AV3 | 06/12/2013 | 1.000000 | 2,000,000.00 | 1,951,200.00 | 6,055.56 |
| 16954 FHLB 313383HQ7 06/13/2018 1.080000 2,000,000.00 1,978,780.00 16955 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 1,978,780.00 16955 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 1,978,780.00 Subtotal 1.200000 2,000,000.00 98,939000 Subtotal 1.200000 2,000,000.00 98,939000 TYPE: 13 FEDERAL NATIONAL MORTGAGE ASSN 1.16677: 16860 FNMA 3136GRKQ3 05/27/2012 1.20000 2,000,000.00 1,994,980.00 16923 FNMA 3136GRKQ3 05/27/2012 1.20000 2,000,000.00 1,994,980.00 16935 FNMA 3136G0Z49 98/28/2012 1.15000 2,000,000.00 1,995,780.00 16935 FNMA 3136G0244 11/14/2016 625000 2,000,000.00 1,994,920.00 16936 FNMA 3136G0247 11/2012 .750000 2,000,000.00 1,984,920.00 <td></td> <td></td> <td></td> <td>06/12/2018</td> <td>1.000000</td> <td>2,000,000.00</td> <td>97.560000</td> <td>SUNGARD</td> | | | | 06/12/2018 | 1.000000 | 2,000,000.00 | 97.560000 | SUNGARD |
| 16954 FHLB 313383HQ7 06/27/2013 1.20000 2,000,000.00 1,978,780.00 16955 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 198,339000 16955 FHLB 313383HQ7 06/27/2013 1.200000 2,000,000.00 198,339000 Note: 100000 2,000,000.00 1,978,780.00 Subtotal 1.200000 2,000,000.00 1,978,780.00 Note: 100000 2,000,000.00 1,978,780.00 Note: 100000 2,000,000.00 1,978,780.00 Subtotal 1.16897 12,00000 2,000,000.00 11,915,780.00 NTYPE:: 13 FEDERAL NATIONAL MORTGAGE ASSM 16860 FNMA 3136GRQ3 05/23/2017 1.125000 2,000,000.00 1,994,950.00 1697 12/17/2013 1.125000 2,000,000.00 1,994,950.00 169860 FNMA 3136GRQ20 05/23/2012 1.150000 2,000,000.00 1,997,020.00 109/16/2012 .5250 | 16952 | FHLB | 313383A68 | 06/13/2013 | 1.080000 | 2,000,000.00 | 1,955,940.00 | 6,480.00 |
| 16955 FHLB 313363HQ7 06/27/2018 1.200000 2,000,000.00 98.939000 16955 FHLB 313363HQ7 06/27/2013 1.200000 2,000,000.00 1.978,780.00 Subtotal 1.200000 1.004997 12,000,000.00 98.939000 1.1816,760.00 nv Type: 13 FEDERAL NATIONAL MORTGAGE ASSN 1.106717 11,999,200.44 98.473000 16923 FNMA 3136GRV2 0.9/25/2012 1.20000 2,000,000.00 1.994,580.00 16923 FNMA 3136GRV2 0.5/25/2012 1.200000 2,000,000.00 1.994,580.00 16935 FNMA 3136G0XQ 05/23/2017 1.200000 2,000,000.00 1.997,020.00 16935 FNMA 3136G0ZG9 08/28/2012 1.150000 2,000,000.00 1.987,020.00 16935 FNMA 3136G0ZV1 11/14/2012 625000 2,000,000.00 1.984,920.00 16936 FNMA 3136G0457 11/21/2012 .750000 2,000,000.00 1.984,920.00 16936 F | | | | 06/13/2018 | 1.080000 | 2,000,000.00 | 97.797000 | SUNGARD |
| 16955 FHLB 313383H07 06/27/2013 06/27/2018 1.200000 1.20000 2,000,000.00 2,000,000.00 1.978,780.00 98.339000 N Type: 13 FEDERAL NATIONAL MORTGAGE ASSN 1.06670 1.06497 12,000,000.00 2,000,000.00 2,000,000.00 NT Type: 13 FEDERAL NATIONAL MORTGAGE ASSN 1.06670 N Type: 13 FEDERAL NATIONAL MORTGAGE ASSN 1.06717 1.06670 2,000,000.00 2,000,400.00 NT Type: 13 FEDERAL NATIONAL MORTGAGE ASSN 1.06670 N Type: 1.06717 1.125000 2,000,000.00 2,004,400.00 16960 FNMA 3136G0KQ3 05/25/2012 1.150000 2,000,000.00 1,999,200.4 16960 FNMA 3136G0KQ3 05/25/2012 1.150000 2,000,000.00 1,999,200.4 16960 FNMA 3136G0ZG9 08/28/2017 1.150000 2,000,000.00 9,97,020.00 11/14/2016 6.25000 2,000,000.00 9,97,020.00 1,984,920. | 16954 | FHLB | 313383HQ7 | 06/27/2013 | 1.200000 | 2,000,000.00 | 1,978,780.00 | 6,266.67 |
| 06/27/2018 1.20000 2,000,00.00 98.939000 Subtotal 1.104997 12,000,000.00 98.93900 106717 11,999,20.44 98.473000 10680 FNMA 3136FPEX1 09/17/2010 1.125000 2,000,000.00 100.220000 16860 FNMA 3136GREX 1.20000 2,000,000.00 1.994,800.00 16923 FNMA 3136GOKQ3 05/25/2012 1.20000 2,000,000.00 1.994,800.00 16931 FNMA 3136GOZG9 08/28/2017 1.20000 2,000,000.00 1.994,800.00 16935 FNMA 3136GOZG9 08/28/2017 1.20000 2,000,000.00 1.999,060.00 16935 FNMA 3136GOZ44 11/14/2012 625000 2,000,000.00 1.984,920.00 16935 FNMA 3136GO457 11/21/2012 .750000 2,000,000.00 1.984,920.00 16936 FNMA 3136GO457 11/21/2012 .750000 2,000,000.00 1.984,920.00 16937 FNMA 3136G18K4 | | | | 06/27/2018 | 1.200000 | 2,000,000.00 | 98.939000 | SUNGARD |
| Subtotal 1.04997 12,000,000.00 11,816,760.00 nvy Type: 13 FEDERAL NATIONAL MORTGAGE ASSN 2,000,000.00 2,004,400.00 16660 FNMA 3136FPEX1 09/17/2010 1.125000 2,000,000.00 10.0220000 16923 FNMA 3136GPQ3 05/25/2012 1.200000 2,000,000.00 1.994,580.00 16931 FNMA 3136G0ZG9 06/28/2012 1.150000 2,000,000.00 1,999,066.00 16935 FNMA 3136G0ZU4 11/14/2012 .625000 2,000,000.00 19,990,066.00 16936 FNMA 3136G0ZU4 11/14/2016 .625000 2,000,000.00 19,990,066.00 16935 FNMA 3136G0ZU4 11/14/2012 .750000 2,000,000.00 19,987,020.00 16936 FNMA 3136G0ZV5 11/21/2012 .750000 2,000,000.00 19,984,920.00 16937 TMA 3136G0ZV5 01/30/2013 1.030000 2,000,000.00 19,984,920.00 16939 FNMA 3135G0TV5 01/30/2013 1.030000 | 16955 | FHLB | 313383HQ7 | 06/27/2013 | 1.200000 | 2,000,000.00 | 1,978,780.00 | 6,266.67 |
| I.106717 I.999,200.44 98.473000 nv Type: 13 FEDERAL NATIONAL MORTGAGE ASSN 16860 FNMA 3136FPEX1 09/17/2010 1.125000 2,000,000.00 2,004,400.00 16923 FNMA 3136G0KQ3 05/25/2012 1.20000 2,000,000.00 10.922000 16923 FNMA 3136G0KQ3 05/25/2012 1.20000 2,000,000.00 19.94,580.00 16931 FNMA 3136G0XQ3 05/23/2017 1.20000 2,000,000.00 19.950,3000 16935 FNMA 3136G0Z9 08/28/2017 1.150000 2,000,000.00 19.950,3000 16935 FNMA 3136G0Z9 08/28/2017 1.50000 2,000,000.00 19.957,3000 16936 FNMA 3136G02U4 11/14/2012 .625000 2,000,000.00 19.847,200.0 16936 FNMA 3136G0457 11/21/2012 .750000 2,000,000.00 19.84,920.00 16939 FNMA 3136G18K4 02/05/2013 1.030000 2,000,000.00 19.84,490.00 16942 FNMA 3136G18K4 | | | | 06/27/2018 | 1.200000 | 2,000,000.00 | 98.939000 | SUNGARD |
| nv Type: 13 FEDERAL NATIONAL MORTGAGE ASSN 2,000,000.00 2,004,400.00 16860 FNMA 3136FPEXI 09/17/2010 1.125000 2,000,000.00 100.220000 16923 FNMA 3136G0KQ3 05/25/2012 1.20000 2,000,000.00 1,994,580.00 16931 FNMA 3136G0KQ3 05/25/2012 1.20000 2,000,000.00 1,994,580.00 16935 FNMA 3136G0ZG9 08/28/2012 1.150000 2,000,000.00 19,9729000 16935 FNMA 3136G0ZG9 08/28/2017 1.150000 2,000,000.00 19,950,3000 16935 FNMA 3136G0Z47 11/14/2016 625000 2,000,000.00 19,987,020.00 16936 FNMA 3136G0457 11/21/2012 .750000 2,000,000.00 19,984,920.00 16939 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 19,984,920.00 16939 FNMA 3136G1E7 05/15/2013 1.000000 2,000,000.00 1,984,920.00 16942 FNMA 31 | | | | Subtotal | 1.104997 | 12,000,000.00 | 11,816,760.00 | 35,805.01 |
| 16860 FNMA 3136FPEXI 09/17/2010 1.125000 2,000,000.00 2,004,400.00 16923 FNMA 3136G0KQ3 05/25/2012 1.200000 2,000,000.00 1,994,580.00 16923 FNMA 3136G0KQ3 05/25/2012 1.200000 2,000,000.00 1,994,580.00 16931 FNMA 3136G0ZG9 08/28/2012 1.150000 2,000,000.00 1,999,060.00 16935 FNMA 3136G0ZG9 08/28/2017 1.150000 2,000,000.00 1,993,03000 16936 FNMA 3136G0Z44 11/14/2012 .625000 2,000,000.00 1,987,020.00 16936 FNMA 3136G0457 11/2(2012 .750000 2,000,000.00 1,984,920.00 16936 FNMA 3135G0TV5 01/30/2018 1.030000 2,000,000.00 1,964,400.00 16937 FNMA 3136G1EF 02/05/2013 1.00000 2,000,000.00 9.8.220000 16942 FNMA 3136G1EF 05/15/2013 1.010000 2,000,000.00 98.135000 16947 FNMA 3135G0XG3 05/15/2018 1.010000 1 | | | | | 1.106717 | 11,999,200.44 | 98.473000 | |
| 12/17/2013 1.125000 2,000,000.00 100.220000 16923 FNMA 3136G0KQ3 05/25/2012 1.20000 2,000,000.00 1,994,580.00 16931 FNMA 3136G0ZG9 08/28/2012 1.150000 2,000,000.00 1,990,060.00 16935 FNMA 3136G0ZG9 08/28/2017 1.150000 2,000,000.00 1,990,060.00 16935 FNMA 3136G0ZV4 11/14/2012 625000 2,000,000.00 1,987,020.00 16936 FNMA 3136G0457 11/21/2012 .750000 2,000,000.00 1,984,920.00 16937 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 1,984,920.00 16939 FNMA 3136G1BK4 02/05/2013 1.030000 2,000,000.00 1,984,920.00 16942 FNMA 3136G1E7 05/15/2013 1.000000 2,000,000.00 1,962,700.00 16947 FNMA 3136G1E7 05/15/2013 1.000000 2,000,000.00 98.135500 16947 FNMA 3135G0XG3 </td <td>nv Typ</td> <td>e: 13 FEDERAL NA</td> <td>TIONAL MORTGAC</td> <td><u>SE ASSN</u></td> <td></td> <td></td> <td>n yn gener an gener wedi fysigeligeligeligeligeligelige yn offisial wedine o wedi wedin yn yn yn yn yn da straf</td> <td></td> | nv Typ | e: 13 FEDERAL NA | TIONAL MORTGAC | <u>SE ASSN</u> | | | n yn gener an gener wedi fysigeligeligeligeligeligelige yn offisial wedine o wedi wedin yn yn yn yn yn da straf | |
| 16923 FNMA 31360KQ3 05/25/2012 1.200000 2,000,000.00 1,994,580.00 16931 FNMA 31360ZG9 08/28/2012 1.150000 2,000,000.00 1,990,060.00 16935 FNMA 31360ZG9 08/28/2017 1.150000 2,000,000.00 1,990,060.00 16935 FNMA 31360ZU4 11/14/2012 .625000 2,000,000.00 1,987,020.00 16936 FNMA 313602V4 11/14/2012 .750000 2,000,000.00 1,984,920.00 16936 FNMA 313604S7 11/21/2012 .750000 2,000,000.00 1,984,920.00 16939 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 1,964,400.00 16939 FNMA 3136G1BK4 02/05/2013 1.000000 2,000,000.00 1,964,700.00 16942 FNMA 3136G1LE7 05/15/2018 1.000000 2,000,000.00 98.135000 16947 FNMA 3136G1LE7 05/15/2018 1.000000 2,000,000.00 97,407000 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,00 | 16860 | FNMA | 3136FPEX1 | 09/17/2010 | 1.125000 | 2,000,000.00 | 2,004,400.00 | 6,500.00 |
| 16931 FNMA 3136G0ZG9 08/28/2012 1.150000 2,000,000.00 1,990,060.00 16931 FNMA 3136G0ZG9 08/28/2017 1.150000 2,000,000.00 99.503000 16935 FNMA 3136G02U4 11/14/2012 .625000 2,000,000.00 99.351000 16936 FNMA 3136G0457 11/21/2012 .750000 2,000,000.00 1,984,920.00 16939 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 99.246000 16939 FNMA 3136G1E57 01/30/2013 1.030000 2,000,000.00 99.246000 16939 FNMA 3136G1E57 01/30/2013 1.030000 2,000,000.00 99.246000 16942 FNMA 3136G1E4 02/05/2013 1.000000 2,000,000.00 98.220000 16947 FNMA 3136G1E7 05/15/2013 1.000000 2,000,000.00 97.40700.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 97.5330.00 <t< td=""><td></td><td></td><td></td><td>12/17/2013</td><td>1.125000</td><td>2,000,000.00</td><td>100.220000</td><td>SUNGARD</td></t<> | | | | 12/17/2013 | 1.125000 | 2,000,000.00 | 100.220000 | SUNGARD |
| 16931 FNMA 3136G0ZG9 08/28/2012 1.150000 2,000,000.00 1,990,060.00 16935 FNMA 3136G02U4 11/14/2012 .625000 2,000,000.00 1,987,020.00 16935 FNMA 3136G02V4 11/14/2012 .625000 2,000,000.00 1,987,020.00 16936 FNMA 3136G0457 11/21/2012 .750000 2,000,000.00 1,984,920.00 16939 FNMA 3135G0457 11/21/2012 .750000 2,000,000.00 1,984,920.00 16939 FNMA 3135G01V5 01/30/2013 1.030000 2,000,000.00 1,964,400.00 16949 FNMA 3136G1BK4 02/05/2013 1.000000 2,000,000.00 1,962,700.00 16947 FNMA 3136G1LE7 05/15/2013 1.010000 1,000,000.00 974,070.00 16948 FNMA 3135G0XG3 05/21/2013 1.00000 1,000,000.00 975,330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 975,330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 | 16923 | FNMA | 3136G0KQ3 | 05/25/2012 | 1.200000 | 2,000,000.00 | 1,994,580.00 | 8,533.33 |
| 16935 FNMA 3136G02U4 11/14/2012 .625000 2,000,000.00 1,987,020.00 16935 FNMA 3136G02U4 11/14/2012 .625000 2,000,000.00 99.351000 16936 FNMA 3136G0457 11/21/2012 .750000 2,000,000.00 99.346000 16939 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 19.9246000 16939 FNMA 3136G1BK4 02/05/2013 1.030000 2,000,000.00 19.964,400.00 16942 FNMA 3136G1BK4 02/05/2013 1.000000 2,000,000.00 19.62,700.00 16947 FNMA 3136G1E7 05/15/2013 1.010000 2,000,000.00 98.135000 16947 FNMA 3136G1E7 05/15/2013 1.010000 1,000,000.00 97.4070.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 97.5330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 97.5330.00 | | | | 05/23/2017 | 1.200000 | 2,000,000.00 | 99.729000 | SUNGARD |
| 16935 FNMA 3136G02U4 11/14/2012 .625000 2,000,000.00 1,987,020.00 16936 FNMA 3136G0457 11/21/2012 .750000 2,000,000.00 1,984,920.00 16937 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 99.246000 16939 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 1,964,400.00 16942 FNMA 3136G1BK4 02/05/2013 1.000000 2,000,000.00 98.220000 16947 FNMA 3136G1LF7 05/15/2013 1.000000 2,000,000.00 98.135000 16947 FNMA 3136G3LE7 05/15/2013 1.010000 2,000,000.00 98.135000 16947 FNMA 3136G3LE7 05/15/2013 1.010000 1,000,000.00 974,070.00 16948 FNMA 3135G0XG3 05/21/2013 1.00000 1,000,000.00 975,330.00 16948 FNMA 3135G0XG3 05/21/2013 1.00000 1,000,000.00 975,330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.0 | 16931 | FNMA | 3136G0ZG9 | 08/28/2012 | 1.150000 | 2,000,000.00 | 1,990,060.00 | 2,108.33 |
| 16936 FNMA 3136G0457 11/21/2012 .750000 2,000,000.00 1,984,920.00 16936 FNMA 3136G0457 11/21/2012 .750000 2,000,000.00 1,984,920.00 16939 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 1,964,400.00 16942 FNMA 3136G1BK4 02/05/2013 1.000000 2,000,000.00 98.220000 16947 FNMA 3136G1LE7 05/15/2013 1.000000 2,000,000.00 98.135000 16947 FNMA 3135G0XG3 05/15/2013 1.010000 1,000,000.00 97.4070.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 97.407000 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 97.5330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 97.5330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 97.5330.00 16948 FNMA 3135G0XG3 05/21/2018 1.000000 1,000,00 | | | | 08/28/2017 | 1.150000 | 2,000,000.00 | 99.503000 | SUNGARD |
| 16936 FNMA 3136G04S7 11/21/2012 .750000 2,000,000.00 1,984,920.00 16939 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 1,964,400.00 16939 FNMA 3136G1BK4 02/05/2013 1.030000 2,000,000.00 1,962,700.00 16942 FNMA 3136G1EK4 02/05/2013 1.000000 2,000,000.00 1,962,700.00 16947 FNMA 3136G1LE7 05/15/2013 1.010000 1,000,000.00 974,070.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 97.5330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 97.5330.00 16948 FNMA 3135G0XG3 05/21/2018 1.000000 1, | 16935 | FNMA | 3136G02U4 | 11/14/2012 | .625000 | 2,000,000.00 | 1,987,020.00 | 4,756.94 |
| 16939 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 1,964,400.00 16939 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 1,964,400.00 16942 FNMA 3136G1BK4 02/05/2013 1.00000 2,000,000.00 98.220000 16947 FNMA 3136G1LE7 05/15/2013 1.010000 2,000,000.00 98.135000 16948 FNMA 3135G0XG3 05/21/2013 1.00000 1,000,000.00 97.407000 16948 FNMA 3135G0XG3 05/21/2013 1.00000 1,000,000.00 97.5330.00 16948 FNMA 3135G0XG3 05/21/2013 1.00000 1,000,000.00 97.5330.00 16948 FNMA 3135G0XG3 05/21/2018 1.000000 1,000,000.00 97.5330.00 16948 FNMA 3135G0XG3 05/21/2018 1.000000 1,000,000.00 97.5330.00 16948 FNMA 3135G0XG3 05/21/2018 1.000000 1,000,000.00 97.5330.00 | | | | 11/14/2016 | .625000 | 2,000,000.00 | 99.351000 | SUNGARD |
| 16939 FNMA 3135G0TV5 01/30/2013 1.030000 2,000,000.00 1,964,400.00 16942 FNMA 3136G1BK4 02/05/2013 1.030000 2,000,000.00 98.220000 16942 FNMA 3136G1BK4 02/05/2013 1.000000 2,000,000.00 1,962,700.00 16947 FNMA 3136G1LE7 05/15/2013 1.010000 1,000,000.00 974,070.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 975,330.00 05/21/2018 1.000000 1,985625 16,000,000.00 97.533000 98.984250 mv Type: 17 FEDERAL FARM CREDIT BANK BONDS -985625 16,000,000.00 98.984250 98.984250 | 16936 | FNMA | 3136G04S7 | 11/21/2012 | .750000 | 2,000,000.00 | 1,984,920.00 | 1,666.67 |
| 16942 FNMA 3136G1BK4 02/05/2013 1.030000 2,000,000.00 1,962,700.00 16942 FNMA 3136G1BK4 02/05/2013 1.000000 2,000,000.00 98.135000 16947 FNMA 3136G1LE7 05/15/2013 1.010000 1,000,000.00 974,070.00 16948 FNMA 3135G0XG3 05/21/2013 1.00000 1,000,000.00 975,330.00 05/21/2018 1.000000 1,000,000.00 97.533000 98.984250 98.984250 nr Type: 17 FEDERAL FARM CREDIT BANK BONDS | | | | 02/21/2017 | .750000 | 2,000,000.00 | 99.246000 | SUNGARD |
| 16942 FNMA 3136G1BK4 02/05/2013 1.000000 2,000,000.00 1,962,700.00 16947 FNMA 3136G1LE7 05/15/2013 1.010000 1,000,000.00 98.135000 16947 FNMA 3136G1LE7 05/15/2013 1.010000 1,000,000.00 974,070.00 16948 FNMA 3135G0XG3 05/21/2013 1.00000 1,000,000.00 975,330.00 16948 FNMA 3135G0XG3 05/21/2013 1.00000 1,000,000.00 975,330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 975,330.00 05/21/2018 1.000000 1,000,000.00 97.533000 985625 16,000,000.00 98.984250 nv Type: 17 FEDERAL FARM CREDIT BANK BONDS - - - - - - | 16939 | FNMA | 3135G0TV5 | 01/30/2013 | 1.030000 | 2,000,000.00 | 1,964,400.00 | 3,490.56 |
| 16947 FNMA 3136G1LE7 05/15/2018 1.000000 2,000,000.00 98.135000 16947 FNMA 3136G1LE7 05/15/2013 1.010000 1,000,000.00 974,070.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 975,330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 975,330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 975,330.00 16948 FNMA 3135G0XG3 05/21/2018 1.000000 1,000,000.00 97.533000 05/21/2018 1.000000 1,000,000.00 97.533000 15,837,480.00 98.984250 subtotal .985625 16,000,000.00 98.984250 nv Type: 17 FEDERAL FARM CREDIT BANK BONDS | | | | 01/30/2018 | 1.030000 | 2,000,000.00 | 98.220000 | SUNGARD |
| 16947 FNMA 3136G1LE7 05/15/2013 1.010000 1,000,000.00 974,070.00 16948 FNMA 3135G0XG3 05/21/2013 1.010000 1,000,000.00 975,330.00 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 975,330.00 05/21/2018 1.000000 1,000,000.00 97.533000 985625 16,000,000.00 97.533000 Subtotal -985625 16,000,000.00 98.984250 nv Type: 17 FEDERAL FARM CREDIT BANK BONDS | 16942 | FNMA | 3136G1BK4 | 02/05/2013 | 1.000000 | 2,000,000.00 | 1,962,700.00 | 3,111.11 |
| 16948 FNMA 3135G0XG3 05/15/2018 1.010000 1,000,000.00 97.407000 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 975,330.00 05/21/2018 1.000000 1,000,000.00 97.533000 97.533000 Subtotal -985625 16,000,000.00 98.984250 nv Type: 17 FEDERAL FARM CREDIT BANK BONDS 5000000000000000000000000000000000000 | | | | 02/05/2018 | 1.000000 | 2,000,000.00 | 98.135000 | SUNGARD |
| 16948 FNMA 3135G0XG3 05/21/2013 1.000000 1,000,000.00 975,330.00 05/21/2018 1.000000 1,000,000.00 97.533000 98.5625 Subtotal .985625 16,000,000.00 98.984250 nv Type: 17 FEDERAL FARM CREDIT BANK BONDS 5.000,000.00 98.984250 | 16947 | FNMA | 3136G1LE7 | 05/15/2013 | 1.010000 | 1,000,000.00 | 974,070.00 | 3,815.56 |
| 05/21/2018 1.000000 1,000,000.00 97.533000 Subtotal .985625 16,000,000.00 15,837,480.00 .985625 16,000,000.00 98.984250 | | | | 05/15/2018 | 1.010000 | 1,000,000.00 | 97.407000 | SUNGARD |
| Subtotal .985625 16,000,000.00 15,837,480.00 .985625 16,000,000.00 98.984250 nv Type: 17 FEDERAL FARM CREDIT BANK BONDS 16,000,000.00 98.984250 | 16948 | FNMA | 3135G0XG3 | 05/21/2013 | 1.000000 | 1,000,000.00 | 975,330.00 | 3,611.11 |
| .985625 16,000,000.00 98.984250 nv Type: 17 FEDERAL FARM CREDIT BANK BONDS | | | | 05/21/2018 | 1.000000 | 1,000,000.00 | 97.533000 | SUNGARD |
| nv Type: 17 FEDERAL FARM CREDIT BANK BONDS | | | | Subtotal | .985625 | 16,000,000.00 | 15,837,480.00 | 37,593.61 |
| | | | | | .985625 | 16,000,000.00 | 98.984250 | |
| 16928 FFCB 3133EAP28 08/22/2012 1.100000 2,000,000.00 1,987,100.00 | nv Typ | e: 17 FEDERAL FA | RM CREDIT BANK | BONDS | na provinské okrál práv populacie. | en an tao an an data na an 1970 an an an an an tao antar data da data da | an na shina an a | |
| | 16928 | FFCB | 3133EAP28 | 08/22/2012 | 1.100000 | 2,000,000.00 | 1,987,100.00 | 2,383.33 |

ATTACHMENT /

1

As Of Date: 09/30/2013 Date Basis: Settlement

City of Oxnard - Treasurer's Office

| Curr Accr Int | larket Value | re | Current Par /Share | ipon | Purchase | CUSIP | Description | Inv. |
|--|---------------|------|---|-------------------------------|------------|--------------|------------------|---------------------------|
| Price Source | Market Price | ok 👘 | Current Book | 1 TR | Maturity | | | No. |
| SUNGARE | 99.355000 | 00 | 2,000,000.00 | 00000 | 08/22/2017 | | | 9931993 - 173 - 174 - 174 |
| 2,383.33 | 1,987,100.00 | 00 | 2,000,000.00 | 00000 | 08/22/2012 | 3133EAP28 | FFCB | 16929 |
| SUNGARD | 99.355000 | 00 | 2,000,000.00 | 00000 | 08/22/2017 | | | |
| 8,550.00 | 1,947,000.00 | 00 | 2,000,000.00 | 00000 | 10/10/2012 | 3133EA3J5 | FFCB | 16933 |
| SUNGARE | 97.350000 | 00 | 2,000,000.00 | 900000 | 10/10/2017 | | | |
| 3,644.44 | 1,968,560.00 | 00 | 2,000,000.00 | 320000 | 10/11/2012 | 3133EA4H8 | FFCB | 16934 |
| SUNGARE | 98.428000 | 00 | 2,000,000.00 | 320000 | 07/11/2017 | | | |
| 3,361.11 | 1,972,460.00 | 00 | 2,000,000.00 | 100000 | 02/06/2013 | 3133ECEQ3 | FFCB | 16940 |
| SUNGARE | 98.623000 | 00 | 2,000,000.00 | 100000 | 02/06/2018 | | | |
| 3,361.11 | 1,972,460.00 | 00 | 2,000,000.00 | 100000 | 02/06/2013 | 3133ECEQ3 | FFCB | 16941 |
| SUNGARE | 98.623000 | 00 | 2,000,000.00 | 100000 | 02/06/2018 | | | |
| 9,444.44 | 1,958,080.00 | 00 | 2,000,000.00 | 00000 | 04/11/2013 | 3133ECL44 | FFCB | 16944 |
| SUNGARE | 97.904000 | 00 | 2,000,000.00 | 00000 | 04/11/2018 | | | |
| 7,740.00 | 1,963,600.00 | 00 | 2,000,000.00 | 080008 | 05/22/2013 | 3133ECQ56 | FFCB | 16949 |
| SUNGARE | 98.180000 | 00 | 2,000,000.00 | 080000 | 05/22/2018 | | | |
| 4,392.36 | 1,230,650.00 | 00 | 1,250,000.00 | 150000 | 06/11/2013 | 3133ECRP1 | FFCB | 16950 |
| SUNGARE | 98.452000 | 00 | 1,250,000.00 | 150000 | 06/11/2018 | | | |
| 7,333.33 | 1,979,240.00 | 00 | 2,000,000.00 | 375000 | 06/25/2013 | 3133ECSQ8 | FFCB | 16953 |
| SUNGARE | 98.962000 | 00 | 2,000,000.00 | 375000 | 06/25/2018 | | | |
| 2,896.67 | 2,005,120.00 | 00 | 2,000,000.00 | 580000 | 08/28/2013 | 3133ECY57 | FFCB | 16957 |
| SUNGARE | 100.256000 | 00 | 2,000,000.00 | 580000 | 08/28/2017 | | | |
| 3,895.83 | 2,020,880.00 | 00 | 2,000,000.00 | 125000 | 08/28/2013 | 3133ECYG3 | FFCB | 16958 |
| SUNGARE | 101.044000 | 00 | 2,000,000.00 | 125000 | 08/28/2018 | | | |
| 59,385.93 | 22,992,250.00 | 00 | 23,250,000.00 | 204194 | Subtotal | | | |
| | 98.891398 | 00 | 23,250,000.00 | 204194 | | | | |
| i kanalanya telepakan kanga deleta kanalan yang disebut. | | | e a constante a constant de la const | na de la compañía de la sel f | | NMENT AGENCI | : 23 OTHER GOVER | ıv Түре |
| 1,747.22 | 2,000,000.00 | 00 | 2,000,000.00 | 850000 | 02/24/2012 | 3134G3PE4 | FHLMC | 16915 |
| UPRIC | 100.000000 | 00 | 2,000,000.00 | 850000 | 02/24/2016 | | | |
| 8,688.89 | 1,992,160.00 | 00 | 2,000,000.00 | 150000 | 05/15/2012 | 3134G3UQ1 | FHLMC | 16922 |
| SUNGARI | 99.608000 | 00 | 2,000,000.00 | 150000 | 05/15/2017 | | | |
| 3,000.00 | 1,974,080.00 | 00 | 2,000,000.00 | 000000 | 08/07/2012 | 3134G3ZR4 | FHLMC | 16927 |
| SUNGARI | 98.704000 | 00 | 2,000,000.00 | 000000 | 08/07/2017 | | | |
| 8,808.33 | 1,952,840.00 | 00 | 2,000,000.00 | 050000 | 04/30/2013 | 3134G42G2 | FHLMC | 16945 |
| SUNGARI | 97.642000 | 00 | 2,000,000.00 | 050000 | 04/30/2018 | | | |
| 6,600.00 | 2,015,300.00 | 00 | 2,000,000.00 | 800000 | 07/25/2013 | 3134G4CS5 | FHLMC | 16956 |
| SUNGARI | 100.765000 | 00 | 2,000,000.00 | 800000 | 07/25/2018 | | | |
| 28,844.4 | 9,934,380.00 | 00 | 10,000,000.00 | 170000 | Subtotal | | | |
| | 99.343800 | 00 | 10,000,000.00 | 170000 | | | | |

OXNARD enter a

As Of Date: 09/30/2013

Date Basis: Settlement

City of Oxnard - Treasurer's Office

| Inv. Description | CUSIP | Purchase | Coupon | Current Par /Share | Market Value | Curr Accr Int |
|--------------------------|---|--|----------|---|---|---|
| No. | | Maturity | YTM TR | Current Book | Market Price | Price Source |
| nv Type: 81 MUNICIPAL B | ONDS | #\$14078355555575553375577 | | andina mining ang sang ang ng n | na na serie da se se serie da dense da series da se | |
| 16873 MUNI | 544587US5 | 11/23/2010 | 3.185000 | 1,000,000.00 | 1,000,000.00 | 13,270.83 |
| | | 11/01/2013 | 3.185000 | 1,000,000.00 | 100.000000 | BOOK |
| | | Subtotal | 3.185000 | 1,000,000.00 | 1,000,000.00 | 13,270.83 |
| | | | 3.185000 | 1,000,000.00 | 100.000000 | |
| nv Type: 85 DEPOSIT NOT | Γ <u>ES</u> | | | nan dara seberu eta kara teta kata kata kara kara kara kara kara ka | naan da ka mada sa | |
| 16943 MTN | 25468PCV6 | 03/05/2013 | 1.100000 | 2,000,000.00 | 1,963,900.00 | 7,333.33 |
| | | 12/01/2017 | 1.100000 | 2,000,000.00 | 98.195000 | SUNGARD |
| | | Subtotal | 1.100000 | 2,000,000.00 | 1,963,900.00 | 7,333.33 |
| | | | 1.100000 | 2,000,000.00 | 98.195000 | |
| Inv Type: 86 DEPOSIT NOT | TES QUARTERLY | adalar yang dalam kang | | aan daga ka san ah san ah san ah san ah san ah san daga ka san daga ka san daga ka san sa san san san san san s Manang san | | en e |
| 16932 MTN | 36962G5Y6 | 06/07/2012 | 1.450000 | 2,000,000.00 | 1,966,300.00 | 2,413.90 |
| | | 05/30/2017 | 1.456211 | 1,999,548.51 | 98.315000 | SUNGARD |
| | | Subtotal | 1.450000 | 2,000,000.00 | 1,966,300.00 | 2,413.90 |
| | | | 1.456211 | 1,999,548.51 | 98.315000 | |
| Inv Type: 99 PASSBOOK II | NTEREST | | | | | la la para se super ser per se para per |
| 16434 LOCAL AGENCY INVES | T | 07/01/2002 | .152081 | 22,000,000.00 | 22,000,000.00 | 5,094.92 |
| | | 10/01/2013 | .152081 | 22,000,000.00 | 100.000000 | BOOK |
| | | Subtotal | .152081 | 22,000,000.00 | 22,000,000.00 | 5,094.92 |
| | | | .152081 | 22,000,000.00 | 100.000000 | |
| Grand Total | nizari formi ingelar page da sera si kipar an-parajingene ini dakaren zusakin | Count 36 | .910571 | 88,250,000.00 | 87,511,070.00 | 189,741.99 |
| | | | .910945 | 88,248,748.95 | 99.162686 | |

*Includes Accrued Discount: \$348.95 Earned Interest Yield This Period: 5.07%

"Per Government Requirements, there are adequate funds available to meet budgeted and actual expenses for the next thirty days"

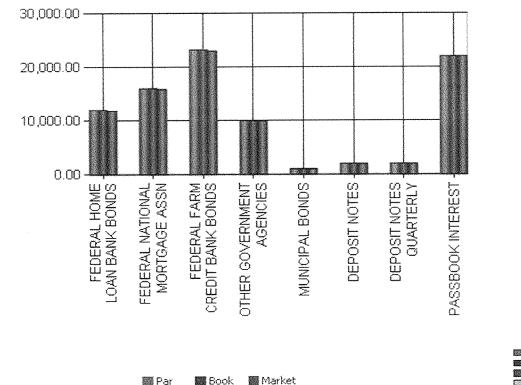
As Of Date: 09/30/2013

Date Basis: Settlement

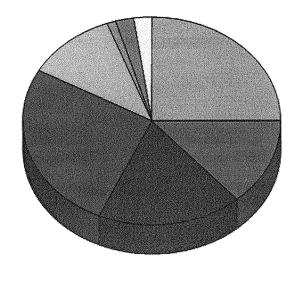
Run: 10/01/2013 11:08:57 AM Reporting Currency: Local

City of Oxnard - Treasurer's Office

| Assets | Current Par | Current Book | Market | MKT/Book | Yield |
|--------------------------------|---------------|---------------|---------------|----------|-------|
| FEDERAL HOME LOAN BANK BONDS | 12,000,000.00 | 11,999,200.44 | 11,816,760.00 | 98.48% | 1.11% |
| FEDERAL NATIONAL MORTGAGE ASSN | 16,000,000.00 | 16,000,000.00 | 15,837,480.00 | 98.98% | 0.99% |
| FEDERAL FARM CREDIT BANK BONDS | 23,250,000.00 | 23,250,000.00 | 22,992,250.00 | 98.89% | 1.20% |
| OTHER GOVERNMENT AGENCIES | 10,000,000.00 | 10,000,000.00 | 9,934,380.00 | 99.34% | 1.17% |
| MUNICIPAL BONDS | 1,000,000.00 | 1,000,000.00 | 1,000,000.00 | 100.00% | 3.19% |
| DEPOSIT NOTES | 2,000,000.00 | 2,000,000.00 | 1,963,900.00 | 98.20% | 1.10% |
| DEPOSIT NOTES QUARTERLY | 2,000,000.00 | 1,999,548.51 | 1,966,300.00 | 98.34% | 1.46% |
| PASSBOOK INTEREST | 22,000,000.00 | 22,000,000.00 | 22,000,000.00 | 100.00% | 0.15% |
| Totals | 88,250,000.00 | 88,248,748.95 | 87,511,070.00 | 99.16% | 0.91% |



CURRENT PAR%



FEDERAL HOME LOAN BANK BONDS - 14% FEDERAL NATIONAL MORTGAGE ASSN - 18% DEPOSIT NOTES - 2% FEDERAL FARM CREDIT BANK BONDS - 26% OTHER GOVERNMENT AGENCIES - 11%

MUNICIPAL BONDS - 1% DEPOSIT NOTES QUARTERLY - 2% PASSBOOK INTEREST - 25%

(s,000)

OXNARD

Asset Allocation

CITY OF OXNARD BENCHMARK COMPARISON VS. LAIF AND 2-YR TREASURIES as of September 30, 2013

| FISCAL YE | AR | CITY | LAIF | 2 YR TREASURY |
|------------|--|--------------------|-------------|-------------------|
| | | | | |
| 2006/07 | 12 mo avg | 4.24 | 5.11 | 4.81 |
| 2007/08 | 12 mo avg | 4.68 | 3.11 | 3.08 |
| 2008/09 | 12 mo avg | 3.79 | 2.16 | 1.51 |
| 2009/10 | 12 mo avg | 2.34 | 0.65 | 0.86 |
| 2010/11 | 12 mo avg | 2.02 | 0.49 | 0.55 |
| 2011/12 | 12 mo avg | 1.34 | 0.38 | 0.28 |
| 2012/13 | 12 mo avg | 0.91 | 0.25 | 0.30 |
| SUBTOTAL | 7 Years | 19.32 | 12.15 | 11.39 |
| Annual Ave | erage (7 years) | 2.76 | 1.74 | 1.63 |
| Quarterly | Average FY 2013-14 | | LAIF | <u>2 YR TREAS</u> |
| | First Quarter Second Quarter Third Quarter Fourth Quarter | 2.40 | 0.27 | 0.36 |
| | | 2.40 | 0.27 | 0.36 |
| | Y OF OXNARD | | | |
| | TE LOCAL AGENCY INVEST | | | |
| 2 YR TREA | SURY = U S GOVERNMENT | TREASURY BILLS - 2 | YR MATURITY | |